

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 9/30/2016

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration No.

Luxcore, Ltd.

4901

(c) Business Address(es) of Registrant

255 Drake Avenue, No. 7

New Rochelle, NY 10805

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- |                           |                              |                             |
|---------------------------|------------------------------|-----------------------------|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Citizenship           | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Occupation            | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(b) If an organization:

- |                          |                              |  |
|--------------------------|------------------------------|--|
| (1) Name                 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Branch offices       | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☒If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

N/A

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

N/A

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Luxembourg Trade & Investment Office  
The Luxembourg House  
17 Beekman Place  
New York, NY 10022

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☐ No ☐

Exhibit B<sup>4</sup> Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Luxembourg Trade & Investment Office: Providing counseling; supporting meetings and other gatherings; contacting American business organizations on the client's behalf.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see Attachment Page 1.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☒ No ☐

If yes, describe fully.

During the previous six months, I have examined and evaluated ads created by competing national economic development agencies.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

## 14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
Please see Attachment Page 2.			

\$32,760.00

Total

## (b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

## (c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Please see Attachment Page 3.			

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\$41.24

Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

## V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.  
 Luxembourg Trade & Investment Office

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

The Luxembourg Trade and Investment Office established a 2016 budget for Luxcore, Ltd.'s services in the amount of \$62,000. during this six month reporting period. It was signed by the Executive Director of the Luxembourg Trade & Investment Office in New York on January 6, 2016.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches  
☐ Other (specify) \_\_\_\_\_

## Electronic Communications

- ☒ Email  
☒ Website URL(s): Luxembourg's own created and hosted links: [www.investinluxembourg.us](http://www.investinluxembourg.us), [www.luxinnovation.lu](http://www.luxinnovation.lu)  
☐ Social media websites URL(s): \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries  
☐ Legislators ☐ Editors ☐ Educational institutions  
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups  
☒ Other (specify) Luxembourg URLs in emails to business execs.

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?  
 Yes ☐ No ☒

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)November 06, 2016/s/ Jerrold S. SeemaneSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Jerrold S. Seeman  
Luxcore, Ltd.  
255 Drake Avenue, No. 7  
New Rochelle, NY 10805

Attachment Page 1.

12. During this six month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

Yes.

Followed up with Founder and CEO of online hotel hospitality site; contacted New York and New Jersey leads for Luxinnovation [Luxembourg health science sector]; attended the ALFI [Luxembourg funds organization] reception at the Luxembourg House, to reinforce leads for the LTIO [Luxembourg Trade & Investment Office in New York]; attended the ALFI Roadshow in New York, to source and reinforce leads for the LTIO; attended the PwC AIFMD [Alternate Investment Fund Manager Directive] reception at the Luxembourg House to source leads for the TIO; attended the Dechert [law firm] Global Alternative Funds Symposium in New York, to source leads for the LTIO; attended the Belgian Atelier FinTech Meetup, to source leads for the LTIO; attended the #TechDrinkup, to source leads for the LTIO; attended meetings with New York leads [previously arranged] for Dr. Tom Dentzer [Luxinnovation], including: investment funds specializing in health care; Android app developer; law firm partners; co-founder of healthcare analytics firm; founder of New York biotech organization; representative of e-health collective; business development representatives of biotech firms; attended the Thomson Reuters Financial and Risk Summit, to source leads for the LTIO; attended the Houlihan Lokey 11th Annual Global Industrials Conference, to source leads for the LTIO; attended meetings with the delegation of the Minister of the Economy and Foreign Trade, to reinforce leads for the LTIO, including: a tech incubator; a digital publisher and broadcast studio; a Brooklyn-based industrial development park; a technology recycling company; attended a technology seminar organized by a law firm; attended the 2016 Luxembourg American Business Award and after party, to source and reinforce leads for the LTIO; attended the FEDIL - ICT [Luxembourg company organization] reception at the Luxembourg House, to reinforce leads for the LTIO; attended the LPEA [Luxembourg Private Equity Organization] presentation and dinner in New York, to source and reinforce leads for the LTIO; met with investment company principals; met with investment partner to discuss opportunities and options for Luxembourg domiciliation later in 2016; attended Belgian Atelier MeetUp with four select companies at the Belgian Atelier in New York; met with the Director and President of a Japanese company with a Luxembourg office to discuss their entry into the U.S. market; attended meetings with New York leads [previously arranged] for Dr. Tom Dentzer [Luxinnovation], including with: family office bio investment fund manager; bio company focused on bioinformatics approaches to cancer; attended startup life science conference to source leads for the LTIO; attended a meeting with Business Development Director of biotech company; attended a meeting with principal researchers of a health

science foundation, to discuss research partnerships with Luxembourg; attended a meeting with funds administration firm with Luxembourg presence; attended Dechert [law firm] Book Launch & Bank Investment Program: "The Bank Investor's Survival Guide What Funds Need to Know About Investing in Financial Companies in 2016", to source leads for the LTIO; attended the Luxembourg National Day reception at the Luxembourg House; attended two-day Cap-Intro East Conference [investment fund finance] to source leads for the LTIO; attended a meeting with private jet fractional rental company executive, to discuss LTIO collaboration; attended a meeting with investment firm principals to discuss LTIO collaboration; attended the Swissnex Boston New York Outpost seminar: The Power of Big Data Shaping the Future of FinTech conference, to source leads for the LTIO; met with corporate consulting executive to explore leads for the LTIO; met with CEO, and Director of Business Development, of a funds administrator, to develop leads and opportunities for the LTIO; met with accounting firm partner to develop opportunities for the LTIO; attended the day-long newly launched conference: Rights Tech Summit, to develop opportunities for the LTIO [met with principals of rights tech companies, attorneys, and other advisors in this field]; began researching e-health and regional leads for the Luxinnovation visit; met with international attorney to develop opportunities for Luxembourg; attended the day-long technology conference: *AWS [Amazon Web Services] Summit* at the Javits Center in New York, to obtain leads for the LTIO; attended the Roundtable at law firm Pepper Hamilton: *The Future of Marketplace Lending – Madden and Beyond*, to obtain leads for the LTIO; continued researching e-health and regional leads for Luxinnovation visit; met with attorney to develop leads for the LTIO: began communicating with leads arising from this meeting: including Senior Manager, Asset Management, businessman with interests internationally, international accounting firm founder and partner, and international commercial banker; conducted a call with CEO of technology company and developer of fintech re: LTIO opportunities and a meeting with the Consul General; communicated with CEO of technology company and developer of fintech applications, re: Luxembourg opportunities and prospective communication with the Consul General; communicated with Senior Vice President of Sales, Global Clearing and Prime Services of a prime broker, to explore Luxembourg opportunities; communicated with Managing Director of funds administration/trust firm with Luxembourg branch, to develop meeting with the Consul General; communicated with CEO and Founder of digital media conference, to develop LTIO relationship and related Luxembourg opportunities; invited to, and registered for, Duff and Phelps firm October seminar: *Operating Models for Raising Capital in Europe Roundtable*; arranged a meeting for the visit of Luxembourg Prime Minister Xavier Bettel to New York: tech education development accelerator; attended the reception for Prime Minister Bettel at the Luxembourg House, to reinforce leads for the LTIO; attended Luxembourg law firm's annual seminar and reception [focused on Luxembourg fund structures, RAIF, etc., Brexit, and other current topics]; met with Chairman and CEO of a fintech company that would consider Luxembourg as a domicile for his business; attended the two day long advertising technology conference: MIXX, in New York, sponsored by the Internet Advertising Bureau, to source leads for the LTIO; attended the reception for the Consul General at the Luxembourg House, to reinforce leads for the LTIO; continued researching e-health and regional leads for the Luxinnovation visit; met with the business development representative of a technology

education incubator; discussed potential options for an engagement with Luxembourg; provided media monitoring of Luxembourg-related coverage in *The Wall Street Journal* and other outlets; researched other LTIO target company leads.

No speeches, lectures, radio or TV broadcasts were arranged, sponsored or delivered by Luxcore, Ltd. The intended audience of conducted activities is American senior business executives and consultants. These corporate executives initially were contacted by phone to determine their interest in meeting with the Consul General to discuss Luxembourg business opportunities. The objective of these activities is to identify American businesses that intend to expand their operations in Europe, and to encourage them to establish any such entities within the Grand Duchy of Luxembourg.

Jerrold S. Seeman  
Luxcore, Ltd.  
255 Drake Avenue No. 7  
New Rochelle, NY 10805

Attachment Page 2.

**14. (a) RECEIPTS-MONIES**

During this six month reporting period, have you ever received from any foreign principal named in Items 7, 8 and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

<u>Date</u>	<u>From Whom</u>	<u>Purpose</u>	<u>Amount</u>
	Luxembourg Trade & Investment Office in New York		
4/18/16	"	March 2016 Fees	4,550.00
5/19/16	"	April 2016 Fees	5,600.00
6/15/16	"	May 2016 Fees	6,230.00
7/25/16	"	June 2016 Fees	5,950.00
8/26/16	"	July 2016 Fees	5,040.00
9/28/16	"	August 2016 Fees	5,390.00
		<b>Total:</b>	<b><u>\$32,760.00</u></b>

Jerrold S. Seeman  
Luxcore, Ltd.  
255 Drake Avenue, No. 7  
New Rochelle, NY 10805

Attachment Page 3.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes. The following are out-of-pocket expenses expended by Luxcore, Ltd., in the course of its work on behalf of the Luxembourg Trade & Investment Office in New York (LTIO).

4/13/16	"Atelier" "FinTech" MeetUp #13 with 4 select companies Presenting Belcham's "Atelier" Co-Working Incubator in NYC Thursday, April 14, 2016, 6:00 PM Belcham's Atelier 1177 Avenue of the Americas, 7th Floor Price: \$10.00 per person	10.00
6/05/16	Swissnex Boston New York Outpost seminar: Swiss Startups presentation: June 8, 2016	11.24
6/07/16	"Atelier" MeetUp #14 with 4 select companies Presenting Belcham's "Atelier" Co-Working Incubator in NYC Tuesday, June 7, 2016, 6:00 PM Belcham's Atelier 1177 Avenue of the Americas, 7th Floor Price: \$10.00 per person	10.00
6/28/16	Swissnex Boston New York Outpost seminar: The Power of Big Data Shaping the Future of FinTech conference: June 30, 2016	10.00
		<u>\$41.24</u> <b>TOTAL</b>